# Cross Lingual Transfer with Multilingual Language Models

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#### Acknowledgment

This presentation summarizes the work done in collaboration and under the supervision of:

- Benoit Sagot, INRIA Paris
- Djamé Seddah, INRIA Paris
- Antonis Anastasopoulous, GMU
- Yanai Elazar, Bar Ilan University

#### **Motivation**

Most languages are not studied by the NLP community

- Only a few dozen languages benefit from the best models
- Our SOTA models are English-centric

Hundreds of Millions of people have smartphones but no access to good search engines, ASR, translation... (Blasi et al. 2021)

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How to build better NLP models for the largest number of low-resource languages?



(Joshi et al. 2020)

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- Only a few dozens (3 to 5) benefit from progress in NLP
- Thousands of languages are left-out
- Focus on the "Hopefuls" languages (Category 2)



# E.g. North African Arabic Dialect: Narabizi

- Used online by millions of people
- Non-standard, code-mixing with French, very rich morphology
- Very small raw corpus available (10mb~) & very few annotated datasets
- Usually written in the Latin Script (Arabizi)



الجزائر

Hi, I'm arabic from Algeria

(Seddah et al. 2020)

Context: "Large-Scale" Language Models are **great transfer learners** (Devlin et al. 2018, Pires et al. 2019)

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- By reusing pretrained multilingual language models (mBERT, XLM-R, mT5...)
- By adapting them
- By training new models from scratch

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#### Tasks: POS tagging, Dependency Parsing , NER

Standard Setting











→ Improves SOTA on high-resource languages

#### Requirements:

- 1. A lot of computing power
- 2. A lot of data (~GB, (Martin et al. 2019)



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#### **Research Question**

→ Can we be more efficient?



#### Zero-Shot CL Transfer with mBERT



#### Zero-Shot Cross-Lingual Transfer with mBERT

mBERT fine-tuned for Parsing **on English** 

- Reaches **non-trivial performance** on all target languages
- This transfer is surprising because the model was trained on no annotated data in the target and no parallel data

SOURCE - TARGET	MBERT
same-language perfe	ormance
EN - English	90.0
cross-lingual perfo	rmance –
EN - FRENCH	74.0
EN - GERMAN	70.4
EN - RUSSIAN	62.5
:	:
$\cdot$ EN V (MEAN)	53.2
$LIN - \Lambda (MEAN)$	35.2
Table: Dependency Parsing(LAS score) of mBERT finEnglish	Performance ne-tuned on

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- This transfer is surprising because the model was trained on no annotated data in the target and no parallel data
- → How does mBERT perform cross-lingual transfer?

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	EN - X (MEAN)	53.2
	Table: Dependency Parsing	Performance

English

# Understanding the Zero-Shot Cross-Lingual (CL) Transfer abilities of mBERT

Understanding the behaviour of Deep-Learning models is inherently difficult (cf. Bertology (Rogers et al. 2020))

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Understanding the behaviour of Deep-Learning models is inherently difficult (cf. Bertology (Rogers et al. 2020))

For Zero-Shot Cross-Lingual (CL) Transfer:

- (Chi et.al 2020) found "universal grammar relations in mBERT" with probing
- (Artexte 2019, Conneau et. al 2020) found emerging cross-lingual structure in monolingual language models
- (Dufter et. al 2020) found that **shared** special tokens (e.g.[MASK]), position vectors and masking are key elements of multilinguality

Understanding Zero-Shot Cross-Lingual Transfer abilities of mBERT

1. What layers of the model contribute to zero-shot cross-lingual transfer?

2. What internal mechanisms support it?

We introduce RANDOM-INIT as an ablation technique



**RANDOM-INIT** consists of re-initializing selectively pretrained parameters before fine-tuning (e.g. layer 3 and 4)

#### Zero-Shot CL Transfer with mBERT



#### **RANDOM-INIT** to locate layers



Cross Lingual Transfer with Multilingual Language Models - Benjamin Muller, INRIA Paris

We apply **RANDOM-INIT** to **pairs of consecutive layers**...

IF the performance drops in the cross-lingual setting

AND does not drop in the same-language setting....

→ these layers are critical for cross-lingual transfer

We apply RANDOM-INIT to **pairs of consecutive layers**...

★ The same-language performance drop is null or small across the entire model

			RAND	OM-INIT	of layers		
SRC-TRG	Ref	$\Delta$ 1-2	$\overline{\Delta}$ 3-4	$\Delta 5-6$	$\Delta$ 7-8	$\Delta 9-10$	$\Delta$ 11-12
				Parsing			
EN - EN	88.98	-0.96	-0.66	-0.93	-0.55	0.04	-0.09
RU - RU	85.15	-0.82	-1.38	-1.51	-0.86	-0.29	0.18
AR - AR	59.54	-0.78	-2.14	-1.20	-0.67	-0.27	0.08
ĒN-X-	53.23	-15.77	-6.51	-3.39	-1.47	0.29	1.00
RU - X	55.41	-7.69	-3.71	-3.13	-1.70	0.92	0.94
Ar - X	27.97	-4.91	-3.17	-1.48	-1.68	-0.36	-0.14

Table: Performance drop of mBERT fine-tuned for Dependency Parsing (LAS score) after applying RANDOM-INIT to pairs of layers compared to mBERT fine-tuned in a standard way (REF)

We apply **RANDOM-INIT** to **pairs of consecutive layers**...

- ★ The same-language performance drop is null or small across the entire model
- For cross-lingual performance
- ★ Large drop in performance when RANDOM-INIT is applied to lower layers

Spc Tpc	Drr	A 1 - 2	$\frac{RAND}{A2.4}$	OM-INIT	$\frac{of  layers}{\sqrt{7.8}}$	40.10	A 11 12
SRC-1RG	KEF	$\Delta 1$ -2	$\Delta$ 3-4	Δ5-6 Parsing	$\Delta 7-8$	Δ9-10	Δ11-12
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What layers contribute to CL transfer?

model

The same-language

- For cross-lingual performance
- ★ Large drop in performance when RANDOM-INIT is applied to lower layers
- ★ Null or Small drop when RANDOM-INIT is applied to upper layers

RANDOM-INIT of layers							
SRC-TRG	Ref	$\Delta$ 1-2	$\overline{\Delta}$ 3-4	$\Delta 5-6$	$\Delta$ 7-8	$\Delta 9$ -10	$\Delta$ 11-12
				Parsing	3		
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#### $\overline{EN} - \overline{X} - 53.\overline{23}$

#### Summary

- → mBERT's lower layers are critical for zero-shot cross-lingual transfer
- → Upper layers can be trained in a task-specific way only without harming cross-lingual transfer

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#### What internal mechanisms support CL transfer?

What happens to mBERT's hidden representations to enable this transfer?

- Measure the similarity
- between **mBERT embedding** of the source language and the target language
- for each layer before and after fine-tuning



Figure: Cross-Lingual Similarity measured with the Central Kernel Alignment (CKA) between a source language (English) and a target language (German) across mBERT layers

#### What internal mechanisms support CL transfer?

- What happens to mBERT's hidden representations to enable this transfer?
  - mBERT aligns representations across languages
  - This alignment occurs in the lower part of the model
  - This alignment is **preserved** during fine-tuning



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## Summary

mBERT is composed of **two specific modules**:

A Cross-Lingual Encoder in the lower layers

- is critical for cross-lingual transfer
- aligns representations across languages (preserved during fine-tuning)
- correlates strongly with downstream cross-lingual performance

#### A Task-Specific Predictor in the upper layers

• Can be trained from scratch on the source language

#### Cross-Lingual Fine-Tuning Setting Focusing on Unseen Languages

- Available Language Models (mBERT, XLM-R, mT5) cover about 120 languages
- Unseen Languages are languages not seen in the pretraining corpora of those models
- We focus on Category 2 Languages (small amount of data available)
- → How can unseen languages benefit from CL transfer?







#### What can we do for unseen languages?

- → We build a typology of unseen languages: Easy, Intermediate, Hard
- → Focusing on the Hard languages, we show that the script is a critical element in cross-lingual transfer failure

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#### Related Work

- (Pfeiffer et al. 2020, 2021) used MLM and task-specific adapters for parameter-efficient CL transfer (MAD-X) or extending script coverage
- (Wang et al. 2021) showed that **Ensembling Adapters** trained on languages related to the target language improves zero-shot transfer

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#### **Related Work**

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- (Aepli and Senrich 2022) **BPE-drop-out** and **character-level noise** improves transfer between related languages

#### Unseen Languages

#### 17 typologically diverse unseen languages

Language (iso)	Script	Family	#sents
Faroese (fao)	Latin	North Germanic	297K
Mingrelian (xmf)	Georg.	Kartvelian	29K
Naija (pcm)	Latin	English Pidgin	237K
Swiss German (gsw)	Latin	West Germanic	250K
Bambara (bm)	Latin	Niger-Congo	1K
Wolof (wo)	Latin	Niger-Congo	10K
Narabizi (nrz)	Latin	Semitic*	87K
Maltese (mlt)	Latin	Semitic	50K
Buryat (bxu)	Cyrillic	Mongolic	7K
Mari (mhr)	Cyrillic	Uralic	58K
Erzya (myv)	Cyrillic	Uralic	20K
Livvi (olo)	Latin	Uralic	9.4K
Uyghur (ug)	Arabic	Turkic	105K
Sindhi (sd)	Arabic	Indo-Aryan	375K
Sorani (ckb)	Arabic	Indo-Iranian	380K

We compare mBERT (w. and w/o MLM fine-tuning) with Monolingual Language Model (MLM) and strong BiLSTM Baselines

## The Three Categories of Unseen Languages

• Easy Languages

If **mBERT outperforms the strong BiLSTM baseline**, we consider the language **Easy** 

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## The Three Categories of Unseen Languages

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• Hard Languages

If **mBERT fails** in both settings we consider **the language Hard**.

# Swiss German vs. Uyghur vs. Wolof

- Swiss German
- Latin script
- Closely Related to German
- Around **500 mb** of available **raw data (OSCAR)**
- Data for POS/Parsing
- Native Speakers: ~7 million

#### Wolof

- Latin script
- Related to Yoruba, Swahili
- Around **2.5 mb** of available raw data (Wikipedia)
- Data for POS/Parsing
- Native Speakers: ~5 million

#### Uyghur

- Arabic script
- Relatively Close to **Turkish**, (written in the **latin script**)
- Around 100MB of available raw data (OSCAR)
- Data for **POS/Parsing/NER**
- •Native ~10.4 million

Speakers:

Easy, Intermediate and Hard Languages



Easy, Intermediate and Hard Languages



• Swiss German is Easy

Easy, Intermediate and Hard Languages



monolingual MLM = mBERT = mBERT+MLM = LSTM

- Swiss German is Easy
- Wolof is Intermediate

Easy, Intermediate and Hard Languages



- Swiss German is Easy
- Wolof is Intermediate

Easy, Intermediate and Hard Languages



- Swiss German is Easy
- Wolof is Intermediate
- Uyghur is Hard

mBERT fails to compete with the baselines (3/17 are Hard)

Fine-Tuning and Evaluation Languages

#### Why are Hard Languages Hard?

## Why are Hard Languages Hard?

Hypothesis: mBERT process *unseen* languages by mapping them **to** related languages seen during the pretraining.

We hypothesize that this 'mapping' is possible only if the pretraining script is the same as the script of the target language



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## Transliterating Uyghur to the Latin Script

Uyghur LAS Performance: Arabic script vs. Latin Transliteration



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Uyghur LAS Performance: Arabic script vs. Latin Transliteration



We validate our hypothesis on Uyghur, Sorani, Mingrelian, Mari, Buryat As well as on seen languages like Arabic, Russian and Japanese



Languages and Script are not equal in Multilingual Language Models

Languages related to High-Resource Languages written in the same script can successfully be used with Multilingual LMs

For more **distant languages** written **in a different script**, **transliteration** is highly impactful

#### Conclusion

- Multilingual Language Models enables efficient cross-lingual transfer
- They rely on cross-lingual alignment occuring in the lower layers
- They are highly impactful for low-resource languages (with MLM and task-specific fine-tuning)
- Even for *unseen* languages with small amount of data available
- When they fail, transliterate to a better suited script

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#### **Better Pretraining**

- Adapters as a modularization framework for cross-lingual transfer
- Toward Multi-View models: i.e. beyond BPE-only models (e.g. character and byte-level models, speech and text, image and text)

#### References

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Louis Martin\*, Benjamin Muller\*, Pedro Javier Ortiz Suárez\*, Yoann Dupont, Laurent Romary, Éric de la Clergerie, Djamé Seddah, Benoît Sagot, *ACL 2020*  Thank you!

Scaling the number of parameters



# What internal mechanisms support this transfer?

Correlating cross-lingual similarity with cross-lingual transfer

- → The Cross-Lingual Similarity of mBERT hidden representations correlates strongly with cross-lingual transfer
- → The higher the cross-lingual alignment inside mBERT, the better the cross-lingual transfer

Task	X-Gap vs. Cross-Lingual Similarity
Parsing	0.76
POS	0.74
NER	0.47

Table: Spearman Correlation between Cross-Lingual GAP (X-Gap) and Cross-Lingual Similarity between source and the target languages of mBERT fine-tuned on diverse tasks

# What internal mechanisms support this transfer?

#### Correlating cross-lingual similarity with cross-lingual transfer



Figure: Spearman Correlation between Cross-Lingual Similarity (CKA between English and the target representations) and cross-lang gap averaged over all 17 target languages for each layer